

○○ **Futures Co., Ltd.**

Checklist for Futures Traders Participating in the TAIFEX after-hour session

(Sample)

※Notice to all Clients:

If you haven't signed this checklist, you may not trade the TAIFEX designated products not exempted from liquidation on behalf of a principal in both the regular or after-hour sessions.

Please circle		Contents
Yes	No	After-hour session for DJIA Futures and S&P500 Futures is from 15:00 to 5:00 the next day.
Yes	No	After-hour session for products such as EUR/USD FX Futures and USD/JPY FX Futures is from 17:25 to 5:00 the next day.
Yes	No	Will products such as TAIEX Futures (TX), TAIEX Options contracts, USD/CNH FX Futures and options contracts be liquidated on behalf of a principal in the after-hour session?
Yes	No	Will products such as EUR/USD FX Futures, USD/JPY FX Futures, DJIA Futures and S&P500 Futures be liquidated on the principal's behalf when the standard for liquidation is reached in the regular and/or after-hour session?
Yes	No	Following the close of the regular session, given the equity balance of the retained open position in the account is less than the maintenance margin, even if the client liquidates the open positions in the after-hour session before FCM's clearing operation, the client will still be issued an after-hour margin call after FCM' clearing operation.
Yes	No	Given that all retained open positions in the after-hour session are in products exempted from liquidation on behalf of a principal, such as TAIEX Futures, if the equity balance drops below the maintenance margin, will the FCM issue a high-risk-account notification?
Yes	No	Given that retained open positions in the after-hour session include products not exempted from liquidation on behalf of a principal, such as DJIA Futures, if the equity balance drops below the maintenance margin, will the client receive a high-risk-account notification?
Yes	No	In a trading session after 15:00, given the retained open positions are in products not exempted from liquidation on behalf of a principal as well as those that have entered the after-hour session and are exempted from liquidation on behalf of a principal, such as after-hour session TAIEX Futures, the standard for liquidation on behalf of a principal, is that when the risk index is lower than agreed ratio and account's equity balance falls below maintenance margin.
Yes	No	When the retained open positions, in the after-hour session, are in products not exempted from liquidation on behalf of a principal, such as DJIA Futures, then when the standard for liquidation is reached, the FCMs will completely liquidate all open positions in products not exempted from liquidation on behalf of a

		principal, such as DJIA Futures.
Yes	No	For the retained open position in products exempted from liquidation on behalf of a principal, such as TAIEX Futures, will its unrealized gain or loss in the after-hour session change the risk index?
Yes	No	Given the retained open positions in the after-hour session are only in products exempted from liquidation on behalf of a principal, such as TAIEX Futures, opening a position will lead to an increase in initial margin requirements for the retained open positions hence a decrease in risk index.
Yes	No	In FCM's calculation of risk indexes in the after-hour session, for open positions in products exempted from liquidation on the principal's behalf, such as TAIEX Futures, the floating gains or losses are excluded. Will the gains or losses on such open position in the after-hour session change the risk index?
Yes	No	For retained open positions in products not exempted from liquidation on the principal's behalf, such as EUR/USD FX Futures, once the trader's risk index reaches the liquidation standard as the price changes, the FCMs will execute liquidation on the principal's behalf, no matter in the regular or after-hour session. Therefore, clients should be aware of change to the equity balance in both trading sessions.

Account Number: Date:

Name(Company name): (Signature)Telephone:

Representative: (Signature)